





2 2004

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Vinginia 22313-1450

APPLICATION NUN TRADEN 10/708.146

ING OR 371 (c) DATE 02/11/2004

FIRST NAMED APPLICANT Peter Arthur Tobler

ATTORNEY DOCKET NUMBER 718026.64

**CONFIRMATION NO. 2145** 

27128 BLACKWELL SANDERS PEPER MARTIN LLP 720 OLIVE STREET **SUITE 2400** ST. LOUIS, MO 63101

**FORMALITIES LETTER** \*OC000000013483323\*

Date Mailed: 08/09/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION ETED

FILED UNDER 37 CFR 1.53(b)

AUG 1 2 2064

Filing Date Granted

8: ACKWELL SAND . S IPER MARKE

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMER!

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FEE TRANSMITTAL	_
for FY 2005	

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(4)	130	ሰሰ
(\$)	TOU.	UU.

Complete if Known					
Application Number	10/708,146				
Filing Date	February 11, 2004				
First Named Inventor	Peter Arthur Tobler, et al.				
Examiner Name	Not yet known				
Art Unit	2857				
Attorney Docket No.	718026 64				

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account: Order	Large	Entity	Small	Entity		
Deposit Account 11-0160	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number L	1051	130	2051	65	Surcharge - late filing fee or oath	130.00
Account Name  BLACKWELL SANDERS PEPER MARTIN LLP	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fees indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) during the pendency of this application  Charge fee(s) indicated below, except for the filing fee	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	430	2252	215	Extension for reply within second month	
Large Entity Small Entity	1253	980	2253	490	Extension for reply within third month	
Fee Fee Fee Fee <u>Fee Description</u> Fee Paid Code (\$) Code (\$)	1254	1,530	2254	765	Extension for reply within fourth month	
1001 790 2001 395 Utility filing fee	1255	2,080	1155	1,040	Extension for reply within fifth month	
1002 350 2002 175 Design filing fee	1401	340	2401	170	Notice of Appeal	
1003 550 2003 275 Plant filing fee	1402	340	2402	170	Filing a brief in support of an appeal	
1004 790 2004 395 Reissue filing fee	1403	300	2403	150	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	GUE 1453 1,330 2453 665 Petition to revive - unintentional					
Fee from	1501 1,370 2501 685 Utility issue fee (or reissue)				Utility issue fee (or reissue)	
Total Claims	1502	490	2502	245	Design issue fee	
Independent -3** = X =	1503	660	2502	330	Plant issue fee	
Claims	1460	120	1460	120	Datition a ha the Commission on	
Multiple Dependent =	1460	130	1460	130	Petitions to the Commissioner	
Large Entity   Small Entity	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	1806	180	1806	180	Submission of Information Disclosure Stmt	
1202 18 2202 9 Claims in excess of 20	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1201 88 2201 44 Independent claims in excess of 3	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 300 2203 150 Multiple dependent claim, if not paid	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1204 88 2204 44 ** Reissue independent claims over original patent	1801	790	2801	395	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue daims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$)	Other fo	ee (specify	<i>'</i> )	_		
**or number previously paid, if greater; For Reissues, see above	*Reduc	ed by Basi	ic Filing F	ee Paid	SUBTOTAL (3) (\$) 130	.00

SUBMITTED BY				(Complete (if applicable))				
Name (Print/Type)	Kevin	M. Kercher		,	Registration No. (Attorney/Agent)	33,408	Telephone	314-345-6000
Signature		9	Uh	la			Date	10/12/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/21 (02-04)
Approved for use through 7/31/2006. OMB 0651-0031
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# **TRANSMITTAL FORM**

Total Number of Pages in This Submission

OCT 1 2 2004

T& TRADE

(to be used for all correspondence after initial filing)

Application Number	10/708,146		
Filing Date	February 11, 2004		
First Named Inventor	Tobler, Peter Arthur		
Group Art Unit	2857		
Examiner Name	Not yet known		
Attorney Docket Number	718026.64		

ENCLOSURES (check all that apply)							
Fee Transmitta	al Form			Drawing(s)			lowance Communication to logy Center (TC)
Fee Attached				Licensing-related Papers			Communication to Board of s and Interferences
Amendment / F	Reply			Petition			Communication to TC Notice, Brief, Reply Brief)
After Fina	ıl			Petition to Convert to a Provisional Application		Propriet	tary Information
Affidavits/	/declaration(s)	)	$\boxtimes$	Power of Attorney, Revocation . Change of Correspondence Address		Status L	.etter
Extension of Ti	ime Request			Terminal Disclaimer	$\boxtimes$		nclosure(s) (please identify Declaration; Part 2 Copy –
Express Aband	Jonment Requ	ıest		Request for Refund		Notice to	Declaration; Part 2 Copy – o File Missing Parts; ent Under 37 CFR 3.73b
Information Dis	sclosure State	ment		CD, Number of CD(s)		with copy of Assignment; return postcard	
Certified Copy Document						·	
Response to M Incomplete App	_		Rem	narks:			
	e to Missing Pa CFR 1.52 or 1						
		SIGNATI	JRE	OF APPLICANT, ATTORNEY, OR	AGE	VT.	
Firm or Individual Name				No. 33,408 er Martin LLP			
Signature	0	7	1	be			
Date	Od	John	<del></del> -	12,2004			
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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Express Mail Label No.: EV528423011US							
Typed or printed n	name	Beth Hool	kwa	у			
Signature		Bey	h	Hodway	Date	$\top$	0-1204



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

fication of: Peter Arthur Tobler, et al.

Examiner: Not yet known

Serial No.: 10/708,146

Group Art Unit: 2857

Filed: February 11, 2004

Attorney Docket No.: 718026.64

For: A SYSTEM AND METHOD FOR

MONITORING FACILITY DATA

Customer No.: 027128

Confirmation No.: 2145

## **RESPONSE**

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, dated August 9, 2004, Applicants enclose herewith:

- 1. Transmittal;
- 2. Fee Transmittal;
- 3. The original, signed Declaration signed by the inventors;
- 4. Power of Attorney;
- 5. Statement Under 37 CFR 3.73(b) with Copy of Assignment;
- 6. Part 2 Copy Notice to File Missing Parts of Nonprovisional Application;

Applicant: Peter Arthur Tobler, et al.

Application No: 10/708,146 Response to Missing Parts

7. Return Receipt Postcard.

Respectfully submitted,

Date: Ochber 12, 2004

Kevin M. Kercher

Reg. No. 33,408

Blackwell Sanders Peper Martin LLP

720 Olive Street, 24th Floor St. Louis, Missouri 63101 (314) 345-6000

ATTORNEYS FOR APPLICANT